

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Klaus SCHULTES, et al.

SERIAL NO: 10/539,132

GAU:

FILED: June 16, 2005

EXAMINER:

FOR: PROCESS FOR PREPARING AQUEOUS DISPERSIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
272480US0PCTSERIAL NO.  
10/539,132

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Klaus SCHULTES, et al.FILING DATE  
June 16, 2005

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,513,118	04/23/85	SUETTERLIN, Norbert et al.			
	AB	4,521,568	06/04/85	MORI, Kyoichiro et al.			
	AC	2002/0123568	09/05/02	TAJIMA, Kazuhisa et al.			
	AD	5,314,965	05/24/94	KISHIDA, Kazuo et al.			
	AE	3,793,402	02/19/74	OWENS, Frederick H.			
	AF	2004/0030046	02/12/04	SCHULTES, Klaus et al. Serial No.: 10/363,319			
	AG	6,803,416	10/12/04	SCHULTES, Klaus et al.			
	AH	6,809,163	10/26/04	SCHULTES, Klaus et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 989 144	03/29/00	EP		NO
	AP	41 36 993	05/13/93	DE		NO
	AQ	0 828 772	03/18/98	EP		NO
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/539,132  
Docket No.: 272480US0PCT

STATEMENT OF RELEVANCY

- 1) References AA-AD and AO have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) Reference \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of this reference is being submitted herewith.
- 3) References AE, AP and AQ are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
272983US0PCT	10/539,509	06/17/05		SCHULTES, et al.
272480US0PCT*	10/539,132	06/16/05		SCHULTES, et al.
231992US0PCT	10/363,319	08/18/03	US2004/0030046 A1	SCHULTES, et al.
215473US0	6,803,416	10/12/04		SCHULTES, et al.
215472US0	6,809,163	10/26/04		SCHULTES, et al.

\*Present Application; listed for information  
NFO/kch